NRMLA STAFF USE ONLY

COMPANY:_____

COMPLAINT NUMBER:_____

DATE RECEIVED:_____

STATUS:_____

ETHICS COMPLAINT FORM

Please complete this form to the best of your ability.
Return complete form and submit with any additional documents to ethics@nrmlaonline.org or by fax to (202) 265-4435.

COMPANY FILING COMPLAINT

	Y / N
COMPANY NAME	NRMLA MEMBER
FIRST NAME	LAST NAME
PHONE	EMAIL

COMPANY IN QUESTION

	Y / N
COMPANY NAME	NRMLA MEMBER
CONTACT FIRST NAME	CONTACT LAST NAME
CONTACT TITLE	
PHONE	EMAIL

NATURE OF COMPLAINT (CHECK ALL THAT APPLY)

- ❑ Firm is distributing false and misleading advertising (please fax or mail example)
- ❑ Loan officer misconduct (i.e., pressure sales tactics, abusive, stating misinformation)
- ❑ Firm refuses to release FHA case number
- ❑ Firm is seeking reimbursement for time and expense after client leaves
- ❑ Firm is charging client for services prior to counseling
- ❑ Firm is cross-selling inappropriate products
- ❑ Firm has questionable recruiting practices (i.e., “make a boatload of money originating reverse mortgages”)
- ❑ Other (please specify)

STATUS (NRMLA STAFF USE ONLY):

DATE	ACTION